

Item 1 Cover Page

Part 2A of Form ADV

Firm Brochure

Sound Asset Management Group, LLC

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This brochure provides information about the qualifications and business practices of Sound Asset Management Group, LLC. If you have any questions about the contents of this brochure, please contact us at (718)331-3700. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Sound Asset Management Group, LLC is available on the SEC's website at www.adviserinfo.sec.gov

Please note that registration as an investment advisory firm does not imply a certain level of skill or training.

Item 2 Material Changes

We have changed our advisory services and fees since our last annual amendment of March 2022 and are no longer eligible to be registered with the Securities and Exchange Commission. We are applying for registration in the state of New York.

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Item 4 Advisory Business

About the Firm

Sound Asset Management Group, LLC is a registered investment adviser headquartered in Brooklyn, NY. It began business in 2008. Its owner is Joseph Maiorano.

Description of Advisory Services

We offer marketing support to an unaffiliated investment advisory firms registered with the U. S. Securities and Exchange Commission, , Brinker Capital Investments, LLC, CRD #38123 and its affiliate, Orion Portfolio Solutions, LLC, CRD # 107975 , and promote their investment management services. We do so through hosting educational events and meetings with other registered investment advisors and their representatives, using materials prepared by or approved by Brinker Capital Investments, LLC and/or Orion Portfolio Solutions, LLC.

We do not provide investment advice and therefore do not tailor our advisory services to individual needs of clients, nor do we sponsor or participate in a wrap fee program or manage client assets.

Item 5 Fees and Compensation

We are compensated through a percentage of the assets under management managed by Brinker Capital Investments, LLC on behalf of clients referred to Brinker through representatives in receipt of our marketing services. Brinker calculates these fees and pays them to us quarterly. We do not debit fees from client accounts as we have no advisory clients.

Item 6 Performance-Based Fees and Side-by-Side Management

We do not accept performance-based fees.

Item 7 Types of Clients

We have an agreement with an unaffiliated investment advisory firm to provide marketing support and receive compensation for that support.

Item 8 Methods of Analysis, Investment Strategies and Risk of Loss

We do not analyze securities, invest money, or provide investment strategies.

Investing involves risk that you should be prepared to bear. You may lose some or all of your money.

Item 9 Disciplinary Information

Neither the Firm nor any of our management persons have been involved in any events that are material to a client's or prospective client's evaluation of the Firm or the integrity of its management.

Item 10 Other Financial Industry Activities and Affiliations

We have an affiliate that is a tax and accounting firm, Maiorano and Associates. Joseph Maiorano provides tax and accounting services through Maiorano and Associates. Joseph Maiorano is also a licensed insurance agent with NYS Department of Financial Services and could sell insurance products for commission.

We have no investment advisory clients, and therefore there is no conflict between the marketing/promotion services we offer and our tax and insurance services.

Item 11 Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

We have adopted a Code of Ethics to instruct our personnel in their ethical obligations and to provide rules for their personal securities transactions. The Firm and our personnel owe a duty of loyalty, fairness and good faith to their clients, and the obligation to adhere not only to the specific provisions of the code but also to the general principles that guide the Code. The Code covers a range of topics including general ethical principles, reporting personal securities trading, exceptions to reporting securities trading, reportable securities, initial public offerings and private placements, reporting ethical violations, distribution of the Code, review and enforcement processes, amendments to Form ADV and supervisory procedures. We will provide a copy of the Code to any client or prospective Client upon request.

Item 12 Brokerage Practices

We do not recommend a custodian, nor do we place any trades.

Item 13 Review of Accounts

We do not manage client investments and do not review client accounts.

Item 14 Client Referrals and Other Compensation

We do not pay anyone for client referrals for our accounts. We receive compensation from an investment advisory firm for providing marketing services about their company to other investment advisory representatives.

Item 15 Custody

We do not have custody of your assets. We do not withdraw fees directly from customer accounts. Because we do not manage client assets, no account statements are generated.

Item 16 Investment Discretion

We do not have investment discretion and do not manage assets.

Item 17 Voting Client Securities

We do not receive nor vote proxies.

Item 18 Financial Information

We have no financial condition that is reasonably likely to impair our ability to meet contractual commitments to you. We do not charge fees of greater than \$500 and six or more months in advance.

We do not have any discretion over any one's assets, nor have we been subject to a bankruptcy petition at any time.

Item 19 Requirements for State-Registered Advisers

Joseph C. Maiorano, Born 1973

Bachelor of Science, Business Administration, St. Johns University

Since 2008, Mr. Maiorano has been the Managing Member of Sound Asset Management Group, LLC. He is also the President of Maiorano and Associates, a tax-preparation firm.

Sound Asset Management Group, LLC is not involved in any other activity outside of offering these promotion/marketing services.

We are not compensated through performance-based fees.

Neither we nor our management persons have been involved in any arbitration claims, civil or self-regulatory events or administrative proceedings.

We do not have any relationships or arrangements with any issuers of securities.